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Date: February 17, 2004

Christine M. Spivey

PATENT 36856.615

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shigeto TAGA

Serial No.: 10/077,775

Filed: February 20, 2002

Title: SURFACE ACOUSTIC WAVE DEVICE

**AND COMMUNICATION APPARATUS** 

**INCLUDING THE SAME** 

Art Unit: 2834

Examiner: K. Addison

## **INFORMATION DISCLOSURE STATEMENT**

Mail Stop DD Assistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith is a copy of one (1) reference cited in the Examination Report issued in a corresponding Korean Patent Application. For the Examiner's convenience, we have enclosed an English translation of the Korean Examination Report and a completed Form PTO-1449. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

U.S. Serial No. 10/077,775February 17, 2004Page 2 of 2

Applicant petitions the Commissioner to consider this information disclosure statement pursuant to C.F.R. § 1.197(d). Enclosed is a Credit Card Payment Form in the amount of \$180.00 to cover the petition fee. If any additional fee is required, the Commissioner is authorized to charge Deposit Account No. 50-1353.

Applicant respectfully requests that the disclosed reference be made of record in the subject application.

Respectfully submitted,

Date: February 17, 2004

Attorneys for Applicant(s)

Joseph R. Keating Registration No. 37,368

Christopher A. Bennett Registration No. 46,710

KEATING & BENNETT LLP 10400 Eaton Place, Suite 312 Fairfax, VA 22030 (703) 385-5200

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of

Complete if Known				
Application Number	10/077,775			
Filing Date	February 20, 2002	<del></del>		
First Named Inventor	Shigeto TAGA	· <del>-</del>		
Art Unit	2834	<u></u>		
Examiner Name	K. Addison			
Attorney Docket Number	36856.615			

Cite				U.S. PATENT DOCUMENTS					
No.1	Document Number  Number-Kind Code <sup>2(if known)</sup>	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
1	5,969,461	10/1999	Anderson et al.						
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		FO	REIGN PATEN	T DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number-Kind Code <sup>5</sup> (if known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Le
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Examiner	Date	
Signature	Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Applicant unique citation designation number (optional). ²See Kind Codes of USPTO Patent documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document by the two-letter code (WIPO Strandard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.